

A Multiple-scale Study of Vegetative Response to Cockpit Karst Topography in Maolan, Guizhou, China, and Ciales, Puerto Rico, USA

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Abstract: Literature in remote sensing shows that the study of the relationship between topography and vegetation is often conducted in large mountainous areas where topographic attributes vary significantly, and a multi-scale approach is applied in which the investigation gradually downscaled to coarser spatial resolutions. Little such research has been done in a typical cockpit karst area where the topographic properties usually don't exhibit significant variations and lower resolution data cannot be utilized for a small area like cockpit karst. This paper proposes an innovative approach to examine the correlations between topography and vegetation in a tropical (Ciales, Puerto Rico, USA) and a subtropical (Maolan, Guizhou, China) cockpit karst area. Topographic profiles were first constructed over individual karst residual hills at an azimuth increment of 22.5 degrees. The paired values of elevation and NDVI along the profiles were then extracted and correlation analysis were performed. The results indicate that significantly more profiles present high negative correlations between elevation and NDVI values, which could be attributed to the variations in moisture source resulting from the singular hydrogeological characteristics in cockpit karst areas. Slope aspect also shows its influences on vegetation. More south-facing profiles exhibit high negative correlations than north-facing profiles in the subtropical Maolan area. However, the difference is not statistically significant in the tropical Coals area, where variations in evapotranspiration between south-facing and north-facing slopes are not as significant as those in the subtropical karst areas.

Keywords: cockpit karst; topography; vegetation; NDVI; scale

1. Introduction

Literature in remote sensing shows that linkage between topography and vegetation growth condition is often conducted in mountainous areas, which presents significant variations in topographic attributes. The linkage is usually investigated at multi-scales by examining the correlation between topographic attributes and Normalized Difference Vegetation Index (NDVI) values, which were gradually downscaled to coarser spatial resolutions (e.g., [1,2]). To some extent, the downscaling process tends to disguise high frequency (local) variations in the topographic and NDVI data. It may not be an issue if the study is conducted in a

40 large area with significant variations in topographic and vegetation properties, such
41 as the Santa Monica Mountains in California [2] and the Glacier National Park in
42 Montana [1]. But this is not the case for typical cockpit karst areas, of which the
43 topographic properties usually don't exhibit great variations. For example, the
44 relief in cockpit karst in La Alinaza, Puerto Rico and Guilin, China is seldom more
45 than 100 meters [3, 4]. Furthermore, the cockpit karst comprises residual hills
46 surrounded by closed depressions, which are often smaller in size. In La Alinaz, PR,
47 for instance, there are around 38 residual hills and 14 depressions per square
48 kilometer [4]. It is obvious that high resolution digital elevation model (DEM) and
49 remote sensing images are required to study the local variations in topographic and
50 vegetative properties and any attempts to downscale the data would undermine, if
51 not make it impossible, the linkage between topography and vegetation.

52 Cockpit karst topography consists of an array of star-shaped depressions
53 surrounded by steep-sided residual hills and is mainly found in tropical and
54 subtropical areas [2,5,6]. Immediately beneath the surface soil, carbonate in cockpit
55 karst terrain is usually well weathered with plenty of solutionally enlarged joints, of
56 which the extent and frequency diminish gradually with depth [7]. The zone of
57 weathered carbonate (also known as epikarst or subcutaneous zone) is typically
58 3-10 m deep but could vary considerably in different places due to various
59 lithology, structure, geomorphologic history and climate [7,8]. Karst landscapes are
60 often waterless as surface water is drained vertically and quite rapidly through the
61 enlarged joints at the depression bottoms. However, excessive water could be
62 occasionally stored in the void spaces of the epikarst and a perched epikarst aquifer
63 may temporally form when the rate of recharge exceeds the maximum rate of
64 vertical throughput.

65 From the side slope to the depression center, the epikarst usually becomes
66 thicker and contains more water because corrosion increases along the soil-rock
67 interface next to the sink of a depression [9]. There is also a marked increase of soil
68 thickness from the side slope to the bottom of the depression [9] due to a long-term
69 balance between soil production and erosion. Soil in depression tends to have
70 higher moisture content because of the centripetal flow of surface runoff and
71 possible laminar subsurface flow owing to the negative topography. It is reasonable
72 to expect increased water storage from the upper to lower slope due to the
73 increased thickness of soil layer and the epikarst. Such a change in water storage
74 amount should affect vegetation growth in cockpit karst areas. However, previous
75 studies on cockpit karst mainly focus on their morphometric and hydrologic
76 characteristics [8,10,11] and to our best knowledge no studies have been conducted
77 to investigate the vegetative response to topography in cockpit karst areas.

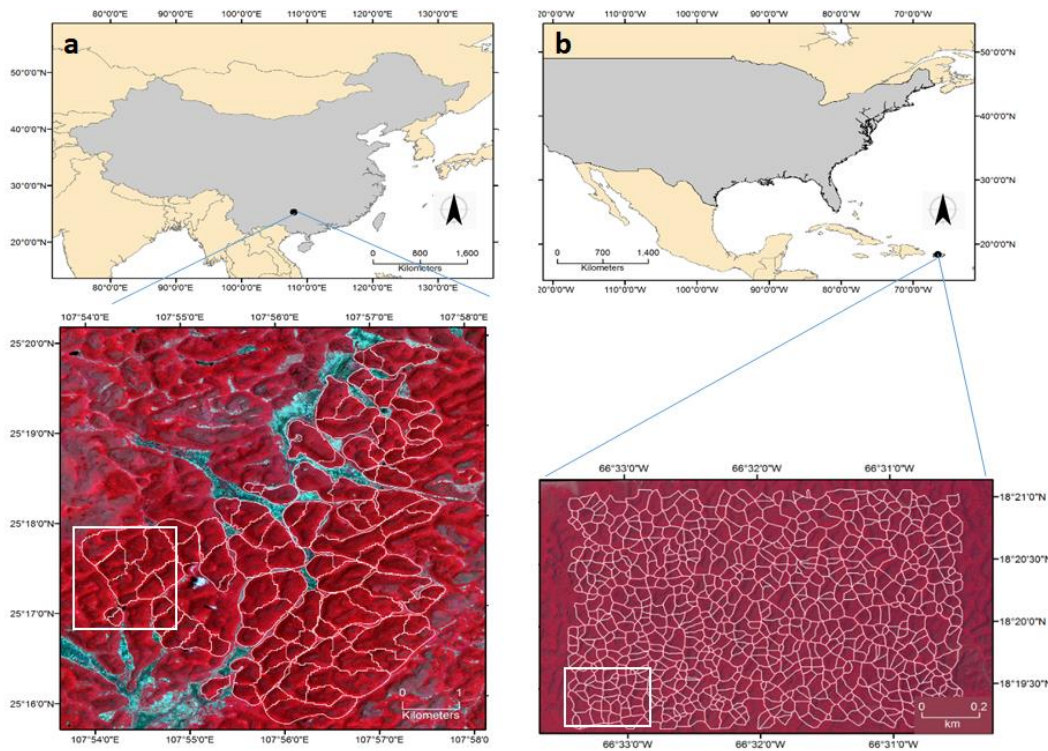
78 In this paper, we present an innovative method to examine the correlations
79 between topography and vegetation at a local scale along topographic profiles of
80 two typical cockpit karst areas: Maolan, Guizhou, China and Ciales, Puerto Rico,
81 USA, which fills the gap both in remote sensing and in cockpit karst study.

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83 **2. Study area**

84 This study was conducted in two cockpit karst areas (Figure 1): one lies in the
85 Maolan Natural Reserve (25.19°N, 107.91°E), Guizhou, China, and the other is in
86 Ciales (18.34°N, 66.52°W), Puerto Rico. USA. The study area within the Maolan
87 Natural Reserve has a humid subtropical monsoonal climate with mild temperature
88 (the annual mean is 15°C) and plentiful precipitation (the annual mean is 1752 mm).
89 The cockpit karst in this area is covered by evergreen broad-leaved mixed forest.
90 Human intervention mainly occurs on the bottom of depressions, which is
91 extensively cultivated. The forest on residual hills is mostly intact.

92 The residual karst hills in Ciales are developed under a tropical climate and is
93 well blanketed with dense forest [12]. Although the forest is located within the
94 moist forest life zone [12], it has a high proportion of deciduous tree species [13]
95 and the stands tend to show signs of being exposed to frequent drought conditions.
96 Human disturbance is barely noticed within the study area.



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100 Figure 1 Maps of China and the USA showing the locations of the two study areas. The
101 boundaries of the residual karst hills are shown in white thin line over the color-infrared
102 displays of IKONOS and World View 03 images of Maolan and Ciales, respectively. The
103 white rectangles on the images show the areal extent of the images in Figures 2A and 3A.
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105 **3. Materials and Methods**

106 This study examined the variations in NDVI along topography profiles of karst
107 hills in the two study areas to show how karst topography influences vegetation
108 greenness. The whole process can be divided into the following three steps:

109 *3.1 Delineation of karst hills and generation of topographic profiles*

110 Digital elevation models (DEM) were used to delineate karst hills and
111 construct topographic profiles. For Maolan study area, we adopted the ASTER
112 global DEM, which has a spatial resolution of 30-m and is the best available DEM
113 for this study area. For Ciales study area, the United States Geologic Survey (USGS)
114 10-m DEM was used to represent the topography.

115 To delineate karst hills, the DEM was first inverted and the hills in the original
116 DEM thus were converted into depressions in the inverted DEM. The general
117 watershed delineation GIS procedures were then performed to delineate each
118 individual depression from the inverted DEM. Only the sinks with a depth less than
119 10 m and 3 m (1/3 of the spatial resolution of the DEMs) in Maolan and Ciales,
120 respectively, were filled, as most sinks are natural features in karst areas [3]. The
121 divides of the depressions were considered as the boundaries of the karst hills in
122 the two study areas. The boundaries were further refined by visually checking the
123 high resolution remote sensing images (explained in the sections to follow) to
124 exclude the areas showing significant cultivation.

125 The topographic profiles were subsequently constructed over the surface of
126 each karst hill. The highest point (the peak) in the original DEM was first identified
127 for each hill, and 16 topographic profiles were subsequently constructed for each
128 individual hill with an azimuth increment of 22.5 degrees starting from the right
129 north (azimuth = 0) from its peak all the way down to its refined boundary.

130 *3.2 Calculation of NDVI*

131 Vegetation greenness is normally inferred from NDVI, which is calculated
132 using the radiance data of the near infrared and red bands of remote sensing images
133 [14,15] as in Equation (1):

$$134 \quad \text{NDVI} = (\text{NIR} - \text{R}) / (\text{NIR} + \text{R}), \quad (1)$$

135 where NIR and R are the spectral radiance data recorded on the near infrared
136 and red spectral bands of satellite remote sensing images, respectively. In this
137 study, three images with different spatial resolutions were acquired for the Maolan
138 study area, including an Ikonos image (4 m, acquired on 5/23/2004), an ASTER
139 image (15 m, acquired on 11/22/2009), and a Landsat 7 image (30 m, acquired on
140 11/22/2009). For the Ciales study area, only a WorldView 03 image (2 m, acquired
141 on 3/17/2011) and an ASTER image (15 m, acquired on 7/31/2015) were obtained for
142 this study. No Landsat image was used for the Ciales area because the karst hills are
143 small [4] and the 30-m spatial resolution Landsat image is not able to resolve the
144 variations in vegetation greenness along the profiles. All images are orthorectified.
145 Digital numbers of the pixels on each image were converted to top-of-atmosphere
146 spectral radiance, which was used to calculate the NDVI.

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148 3.3 Correlation analysis of elevation and NDVI along topographic profiles

149 Values of NDVI and elevation were first extracted from the NDVI and DEM
150 datasets along each topographic profile at the same distance interval as the spatial
151 resolution of the corresponding remote sensing image. In other words, the NDVI
152 values derived from the WorldView 03, IKONOS, ASTER, and Landsat images were
153 sampled along the topographic profiles every 2, 4, 15, and 30 meters, respectively.
154 For a specific topographic profile, elevation values were also sampled at the same
155 interval as the NDVI. Image analysis shows that the NDVI values are lower for the
156 pixels in the topographic shadows than those fully illuminated. Therefore, this
157 study excludes the profiles that are partially or entirely in topographic shadows
158 and only examines those that are fully illuminated. The shadows in the images were
159 delineated from the DEM-derived hillshades, which were produced using the
160 hillshade function in ArcGIS. The azimuth angle and sun altitude of light source
161 used to generate hillshade were exactly the same as when the remote sensing
162 images were acquired.

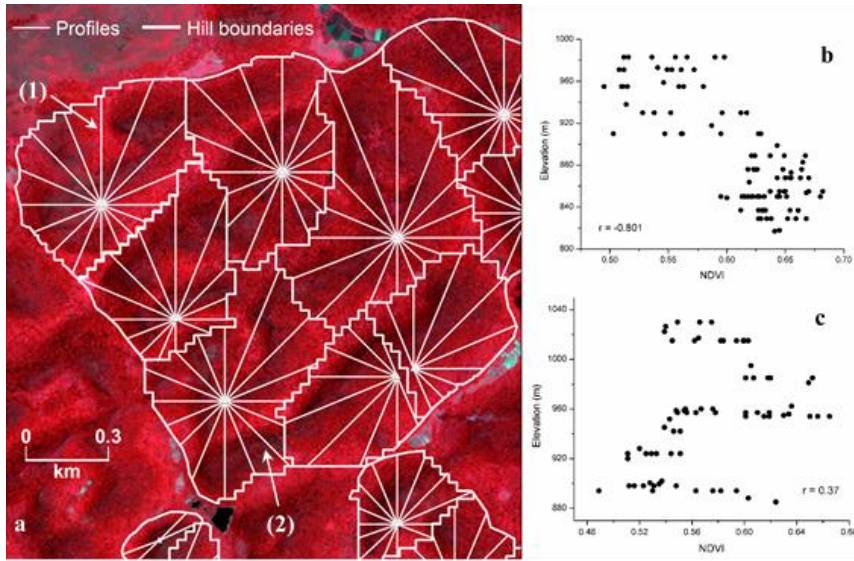
163 Statistical analysis was then performed in SPSS to examine the correlations
164 between the elevation and NDVI values along each topographic profile. The
165 number and percentage of profiles were summarized based on the range of the
166 correlation coefficient (r) value. In this study, we adopt the scheme proposed by
167 [16] to determine the scale of correlation magnitude: high positive or negative
168 correlation ($r > 0.5$ or $r < -0.5$), low positive or negative correlation ($0.1 \leq r < 0.5$ or
169 $-0.5 \leq r < -0.1$), and trivial correlation ($-0.1 < r < 0.1$). The number and percentage of
170 profiles were also summarized by the profile azimuth. We lastly applied the t
171 statistical analysis to infer whether the influences of karst topography on vegetation
172 greenness in the two study areas are statistically significant or not. All statistical
173 analyses were conducted in SPSS.

174 4. Results

175 4.1 Topographic profiles

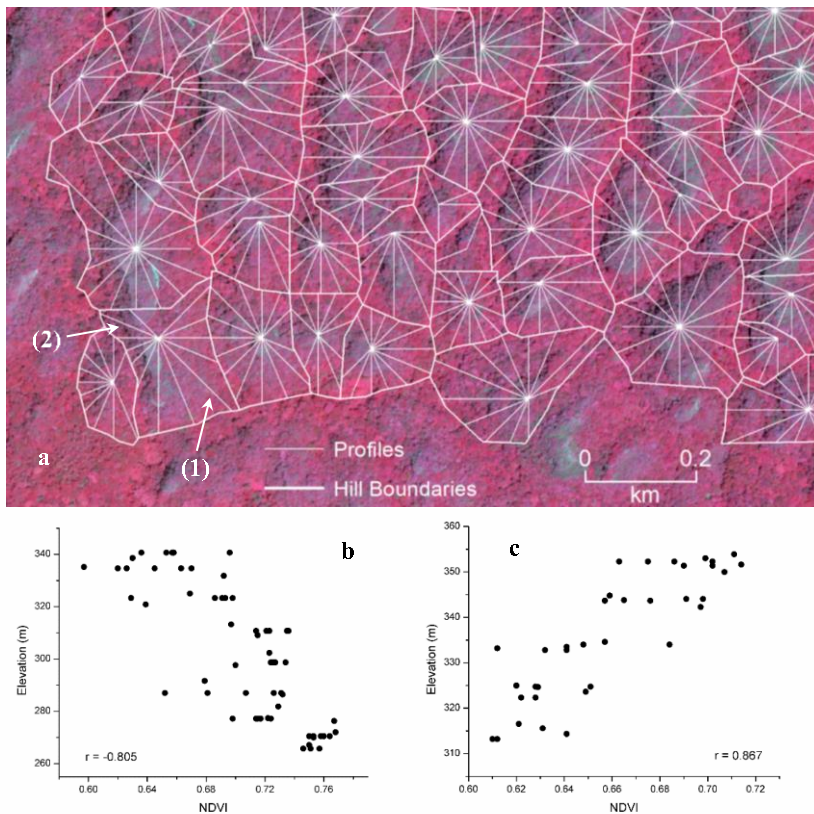
176 Figures 2(a) and 3(a) display the boundaries (enclosed thicker white lines) and
177 topographic profiles (radiative thinner white lines) of a part of the residual hills in
178 Maolan and Ciales, respectively. Scatterplots of NDVI and elevation values on
179 exemplary profiles (1) and (2) (identified in Figures 2(a) and 3(a) demonstrate
180 typical negative (Figures 2(b) and 3(b) and positive (Figures 2(c) and 3(c))
181 correlations between NDVI and elevation in the two study areas.

182 In Ciales, 11,136 topographic profiles were constructed from the USGS DEM
183 over the 696 karst hills (Table 1). We excluded 4,146 profiles that are horizontally
184 shorter than 60 m to ensure that, in Ciales, at least four pairs of NDVI and elevation
185 values could be extracted from the profile for correlation analysis at the scale of 15
186 m. There are, respectively, 0 and 2,215 profiles that are located in the topographic
187 shadows on the WorldView 03 and the ASTER images in Ciales. In the end, we
188 performed correlation analyses on 6,690 and 4,755 profiles on the WorldView 03
189 and ASTER images, respectively.



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Figure 2. (a) Topographic profiles (radiative thin white lines) generated within a part of the residual hills in Maolan atop of the IKONOS image. Enclosed thicker white lines are boundaries of karst residual hills (b) Scatterplot of elevation and NDVI for exemplary profile (1) exhibiting a negative correlation; (c) Scatterplot of elevation and NDVI for exemplary profile (2) exhibiting a positive correlation.



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Figure 3. (a) Topographic profiles (radiative thin white lines) generated within a part of the residual hills in Ciale atop of the WorldView 03 image. Enclosed thicker white lines are boundaries of karst residual hills (b) Scatterplot of elevation and NDVI for exemplary profile (1) exhibiting a negative correlation; (c) Scatterplot of elevation and NDVI for exemplary profile (2) exhibiting a positive correlation.

205 Table 1. Statistics of topographic attributes and topographic profiles in the two study areas.
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Statistics	Ciales, PR	Maolan, China
Elevation (m)	286.86 ± 41.35	877.71 ± 64.89
Slope gradient (°)	33.88 ± 12.9	29.57 ± 13.09
Total number of residual hills	696	98
Hill perimeter (m)	636.07 ± 193.01	2,319.12 ± 828.86
Hill planar size (km ²)	0.024 ± 0.01	0.22 ± 0.12
Hill relief (m)	62.69 ± 26.21	188.81 ± 62.01
Total number of profiles generated	11,136	1,568
Number of profiles no less than 120 m	--	1,352
Number of profiles no less than 60 m	6,990	--
Profile length (m)	100.28 ± 35.23	257.75 ± 111.84
Profile slope gradient (°)	31.51 ± 7.93	26.38 ± 9.98

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 208 We constructed 1,568 profiles over the 96 karst residual hills in Maolan (Table 1)
 209 and 209 of them were excluded from the analyses because they are shorter than 120
 210 m, the minimum length to guarantee at least four pairs of NDVI and elevation
 211 samples for each profile at the 30-m resolution. The numbers of profiles that are
 212 fully illuminated on the 4-m IKONOS, 15-m ASTER, and 30-m Landsat images are
 213 1,352, 1,016, and 979, respectively, due to the different sun azimuths and altitudes at
 214 the time when the images were captured. Subsequently, correlation analyses were
 215 conducted on these fully illuminated profiles.

216 *4.2 Magnitudes of correlations between NDVI and elevation*

217 Table 2 summarizes the percentages of profiles with different magnitudes of
 218 correlations. Across the examined data scales, the vast majority of the profiles show
 219 negative correlations (without considering the significance level) between NDVI
 220 and elevation values in both study areas. The most prominent case is found in
 221 Ciales at the scale of 15 m, where about 93% of the profiles present negative
 222 correlations. Even in the least obvious case, at the 15-m scale in Maolan, 72% of the
 223 profiles show negative correlations. In Ciales, 81.8% and 79.1% of the profiles
 224 present statistically significant negative correlations at the scales of 2 m and 15 m,
 225 respectively. In contrast, only 3% and 2% of the profiles show statistically
 226 significant positive correlations. In Maolan, the percentages of profiles with
 227 statistically significant negative correlations are 65.16%, 46.95%, and 42.69%,
 228 respectively, at the scales of 4, 15, and 30 m, whereas only 9.91%, 9.75%, and 7.87%
 229 profiles show statistically significant positive correlations at these scales. These
 230 findings indicate the existence of an inverse relationship between NDVI and
 231 elevation values in both study areas.

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Table 2. Percentage of topographic profiles with different magnitudes of correlations at multiple scales in the two study areas. Numbers outside and inside the parentheses show percentages of profiles with statistically significant and insignificant correlations, respectively, at the confidence level of 0.95.

Study area – scale (m)	Fully illuminated profiles	High negative profiles (%)	Low negative profiles (%)	Trivial profiles (%)	Low positive profiles (%)	High positive profiles (%)
Ciales – 2	6,990	68.57 (0)	13.18 (7.35)	0 (4.76)	1.75 (3.12)	1.27 (0)
Ciales – 15	4,755	79.03 (9.25)	0.02 (5.24)	0 (1.24)	0 (2.00)	2.04 (1.18)
Maolan – 4	1,352	41.12 (0)	24.04 (11.61)	0 (8.80)	7.4 (4.51)	2.51 (0)
Maolan – 15	1,016	44.39 (2.66)	2.56 (20.57)	0 (6.59)	0.69 (12.11)	9.06 (1.38)
Maolan – 30	979	42.59 (10.52)	0.1 (16.04)	0 (7.05)	0 (10.73)	7.87 (5.11)

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239 4.3 Variation of NDVI-elevation correlations with azimuths

240 Table 3 summarizes the percentages of the profiles with statistically significant
241 negative correlations at each specific azimuth at different scales. Most profiles in
242 Ciales present statistically significant negative correlations between NDVI and
243 elevation in all azimuths, but there seems to be no consistent concentration of
244 high-correlation profiles in any azimuth. In Maolan, contrastingly, south-facing
245 profiles (with an azimuth closer to 180°) have significant negative correlations,
246 especially when observed at 15 m and 30 m. Overall, fewer profiles have negative
247 correlations in Maolan (averagely 49%) than Ciales (averagely 77%).

248 We further performed the paired samples t-test of the percentages of profiles
249 with statistically significant high negative correlations to the total number of
250 profiles at a specific azimuth to compare the difference in the percentage between
251 the two areas at the same scale, as well as between different scales in the same area
252 (Table 4). At the scale of 15 m for the two study areas, the difference of the average
253 is statistically significant at the confidence level of 95% ($p=0.000$). However, in the
254 same study area, the difference of the average between multiple scales is not
255 statistically significant at the significance level of 0.05 (Table 4).

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Table 3. Percentages of topographic profiles with statistically significant (high and low combined) negative correlations between NDVI and elevation values at various azimuths at multiple scales. The azimuths were counted clockwise from north (0°).

Azimuth (°)	Ciales – 2 m	Ciales – 15 m	Maolan – 4 m	Maolan – 15 m	Maolan – 30 m
22.5	76.33	77.11	65.88	27.27	37.78
45.0	82.87	86.82	63.22	32.26	45.31
67.5	83.23	85.96	68.18	42.47	50.67
90.0	86.35	85.09	65.48	54.67	41.89
112.5	87.78	84.02	70.37	47.95	37.50
135.0	85.54	82.20	66.25	55.56	34.29
157.5	81.36	81.08	71.05	56.52	46.97
180.0	78.61	80.95	65.91	62.65	50.60
202.5	76.56	79.24	62.35	60.24	61.45
225.0	77.55	78.92	61.36	65.52	56.47
247.5	80.18	73.66	64.44	48.81	46.34
270.0	84.22	60.96	64.63	33.33	26.98
292.5	83.94	58.72	69.05	33.33	7.69
315.0	82.88	43.08	57.14	18.75	12.00
337.5	79.72	49.41	64.77	23.33	34.62
360.0	80.49	64.20	63.41	20.69	37.04
Average	81.73	73.21	65.22	42.71	39.23

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Table 4. Paired samples t-test of the percentages of profiles with statistically significant high negative correlations to the total number of profiles.

Areas	Scale pair (m)	Paired Differences			t-test		
		Mean	Std. Deviation	Std. Error Mean	t	df	Sig.(2-tailed)
Between areas	15 vs. 15	32.811	12.577	3.144	10.435	15	0.000
Ciales	2 vs. 15	-4.649	13.416	3.354	-1.386	15	0.186
	4 vs. 15	0.746	11.936	2.984	0.250	15	0.806
Maolan	4 vs. 30	2.009	12.604	3.151	0.637	15	0.533
	15 vs. 30	1.263	13.181	3.295	0.383	15	0.707

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At each individual scale, we grouped the profiles into the south- and north-facing groups based on their azimuths. The south-facing group includes all profiles with an azimuth of 135°, 157.5°, 180°, 202.5° and 225°, and the north-facing group consists of profiles with an azimuth of 315°, 337.5°, 360°, 22.5° and 45°. We then performed paired sample t-tests to evaluate the differences between the two

271 groups (Table 5). In Ciales, the mean differences of the percentages in the south-
 272 and north-facing groups (south minus north) are 1.64 and 16.35 at the scale of 2 m
 273 and 15 m, respectively. The difference is not statistically significant at the
 274 confidence level of 95% ($p = 0.427$ and 0.136 , respectively). In Maolan, the mean
 275 differences of the percentages in the south- and north-facing groups (south minus
 276 north) are 8.46, 35.29, and 16.61 at the scales of 4, 15, and 30 m, respectively. All
 277 these differences are statistically significant at the significance level of 0.05 ($p =$
 278 0.016 , 0.00 , and 0.003 , respectively). The above results indicate that in Maolan, more
 279 south-facing profiles tend to show negative correlations between NDVI and
 280 elevation than north-facing profiles. In contrast, no significant differences exist
 281 between south- and north-facing profiles in Ciales.

283 **Table 5.** Paired sample *t*-tests of differences between south-facing and north-facing profiles
 284 in terms of percentage of profiles with statistically significant high negative NDVI-elevation
 285 correlations.

Study area	Scale (m)	South mean	North mean	Paired difference (south – north)			<i>t</i>	<i>p</i> (2-tailed)
				Mean	Standard deviation	Standard error mean		
Ciales	2	67.22	65.58	1.64	4.15	1.86	0.883	0.427
	15	80.48	64.12	16.35	19.64	8.78	1.862	0.136
	4	44.32	35.86	8.46	4.68	2.09	4.037	0.016
Maolan	15	57.42	22.13	35.29	3.6	1.61	21.891	0
	30	49.96	33.35	16.61	5.9	2.64	6.293	0.003

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287 5. Discussion

288 NDVI, a function of the red and near-infrared spectral radiance recorded by
 289 remote sensors, is widely used for examining vegetation greenness. The red band
 290 radiance is inversely proportional to the amount of chlorophyll existed in the plant
 291 canopy, whereas the near-infrared band radiance is positively related to green or
 292 photosynthetically active vegetation [15]. A higher NDVI value normally suggests a
 293 denser green leaf cover, thus more greenness, whereas a lower NDVI value
 294 indicates less greenness. Decline in NDVI, thus greenness, is of great significance as
 295 it may indicate the loss of photosynthetic capacity of vegetation, which, if presents
 296 at a large areal extent, may suggest a significant perturbation to the global carbon
 297 cycle [17,18].

298 Variations in NDVI and greenness usually show alteration of leafy vegetation
 299 dynamics. At a continental or regional scale, significant drop in NDVI tends to
 300 reflect major disturbances in vegetation. Water stress may be the most frequent
 301 events of disturbance. For example, Xu et al. [15] examined the variations in NDVI
 302 from images obtained by the Moderate Resolution Imaging Spectroradiometer
 303 (MODIS) and identified a widespread decline in greenness of vegetation in Amazon
 304 region due to the strong drought in 2010. Variations in NDVI are also found
 305 strongly related to drought conditions over grasslands in the central Great Plains of

306 the United States [19]. Changes in NDVI can even document regional vegetation
307 die-off as a result of severe droughts [20].

308 Results presented in this study reveal that water stress also has impacts on
309 vegetation greenness at a local scale. As listed in Table 3, the majority of the
310 topographic profiles show negative correlations between elevation and NDVI
311 values, i.e., less greenness at the upper slope and more greenness at the lower slope.
312 In other words, there tends to be sparser green leaf cover at the upper slope but
313 denser cover at the lower slope along the profiles. This trend of decrease in NDVI
314 from the lower slope to the upper slope could be mainly attributed to water stress in
315 the two study areas, as other stresses such as insect outbreak, wild fire, or anomaly
316 lower temperature were not reported in the two study areas during the period of
317 interest. Lugo et al. [12] argued that the forest in Ciales is located within a moisture
318 life zone with an annual precipitation of 1659 mm, but forest stands exhibit signs of
319 being exposed to frequent drought conditions. It seems that the forest stands in
320 cockpit karst areas tend to be frequently affected by short spells of droughts,
321 though no similar studies are conducted in Maolan area, where the annual
322 precipitation is in the same magnitude of Ciales.

323 Water stress in forest stands in cockpit karst areas is essentially associated with
324 the hydrological characteristics of cockpit karst. Most cockpit karst areas are
325 characterized by a thin layer of soil overtop the significantly weathered carbonate
326 rocks. Vertical openings are ubiquitous in tropical and subtropical karst areas due
327 to extensive subsurface chemical weathering. Even there is plenty of precipitation
328 in the two study areas, surface water often disappears quickly through the
329 extensive subsurface openings. Moreover, limited amount of soil water can be
330 retained in the thin soil layer. Thus, forest stands in cockpit karst areas tend to show
331 signs of being exposed to frequent droughts, which usually develop when water in
332 soil evapotranspires and or water in the epikarst aquifer (which occasionally
333 develops after heavy rain) drains out.

334 The negative correlations between NDVI and elevation values along the
335 topographic profiles indicate that forest stands at the upper slope are more
336 vulnerable to water stress than those at the lower slope. This can be explained by
337 the increased thickness of soil layer (thus increased soil water), the increased
338 thickness of the epikarst (thus more water could be temporally stored in the
339 epikarst aquifer), and a gradual decline in groundwater depth from the upper to the
340 lower slope [7,21]. The characteristic hydrological settings of cockpit karst provide
341 forest stands at the lower slope of the profiles more access to moisture and therefore
342 lead to denser leaf cover and higher NDVI values. By contrast, forest stands at the
343 upper slope are more vulnerable to more frequent droughts due to limited access to
344 soil, epikarst aquifer, and ground water, and thus have sparser leaf cover and lower
345 NDVI values.

346 Denser leaf cover at the lower slope and sparser leaf cover at the upper slope
347 are more evident along the profiles facing south. In both of the two study areas,
348 higher proportion of the south-facing profiles present high negative correlations
349 than that of the profiles facing north (Table 5). The difference could be attributed to

350 the stronger evapotranspiration on the south-facing slopes, which receive higher
351 solar insolation than the north-facing slopes. However, the difference is statistically
352 significant in the subtropical Maolan study areas at 4-m, 15-m, and 30-m scales but
353 not significant in the tropical Ciales study area at either 2-m scale or 15-m scale. This
354 suggests that the difference of evapotranspiration between south-facing and
355 north-facing slopes in the tropical Ciales is not as significant as that in the
356 subtropical Maolan area. The possible reason for the significant difference in
357 evapotranspiration between south- and north-facing slopes in Maolan area is that
358 there is significant difference in total annual solar radiation between south- and
359 north-facing slopes in higher latitude areas (Maolan) than that in lower latitude
360 area (Ciales) [22].

361 In the same area, there is no statistically significant difference in the proportion
362 of profiles with statistically significant high negative correlations at a specific
363 azimuth between multiple scales (Table 4). In Ciales, the difference at scales of 2 m
364 and 15 m is -4.65% and is not statistically significant at the confidence level of 95%
365 ($p= 0.186$). In Maolan area, none of the differences between scales of 4 m, 15 m, and
366 30 m is statistically significant at the confidence level of 95%. This suggests that the
367 influence of topography on vegetation greenness is evident, no matter at what
368 scales the correlations are examined. The scale-independent negative correlation
369 also suggests a promising future to examine the temporal variation of correlations
370 between NDVI and vegetation greenness by using multi-temporal and multi-scale
371 remote sensing images. This is extremely important to study disturbance events
372 (such as drought, wild fire, and insect break) of vegetation, particularly in tropical
373 and subtropical area, where cloud is usually an obstacle for the same remote
374 sensing system to acquire images within a short revisit time period.

375 **6 Conclusions**

376 This study examined the multi-scale topological influences on vegetation
377 greenness in two cockpit karst areas, which are characterized by residual hills
378 surrounded by closed depressions. We first constructed radiating profiles from the
379 peak to the foothill of each karst residual hill at an azimuth increment of 22.5
380 degrees. Along the profiles, elevations were derived from DEMs and the index of
381 vegetation greenness (NDVI) was obtained from remote sensing images with
382 different spatial resolutions. Correlation analysis was then performed and
383 percentage of profiles showing negative and positive correlations in different
384 magnitudes was summarized to investigate the relationship between elevation and
385 NDVI values along the profiles.

386 Significantly more profiles show statistically significant negative correlations
387 between elevation and NDVI values along the profiles in the two cockpit karst
388 areas, suggesting denser leaf cover (more greenness) at the lower slope and sparser
389 leaf cover (less greenness) at the upper slope of the topographic profiles. The
390 increasing greenness from the upper slope to the lower slope reflects that the forest
391 stands at the upper slope are exposed to more frequent water stress conditions than
392 those at the lower slope. The variations of exposure to water stress could be

393 explained by the singular hydrogeological characteristics in cockpit karst areas. The
394 bottom of the depression tends to have a shallow and groundwater table, a thick
395 layer of soil, and a thicker epikarst aquifer (though temporary), which all provide
396 more moisture to the forest stands there. By contrast, limited moisture sources are
397 available to the forest stands growing at the upper slope due to a deeper
398 groundwater table, a very thin soil layer, and a thinner epikarst aquifer.

399 Topographic influences on vegetation greenness is not significant at different
400 scales. The influences are more evident when the slope aspects are further
401 considered. More south-facing profiles show negative correlations between
402 elevation and NDVI values than north-facing profiles in both of the two study
403 areas. This could be attributed to the stronger evapotranspiration in the
404 south-facing slopes than that in the north-facing slopes. However, the difference of
405 the percentages in south- and north-facing profiles is statistically significant in the
406 subtropical Maolan area but not statistically significant in the tropical Ciales area. It
407 is reasonable as the difference of solar radiation received by the north- and
408 south-facing slopes is much more significant in higher latitude area than lower
409 latitude area. Such a difference, combined with the singular hydrogeological
410 characteristics of karst depression, controls the varied moisture availability at the
411 upper slope and the lower slope, and therefore the variations in vegetation
412 greenness along the topographic profiles.

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